



PRIVATE CLIENT POLICY

1. Policy name: Private Client Policy.

2. Policy application period: From August 15, 2024, until further notice.

3. Scope and customers of the policy: All customers who are domestic and foreign individuals and organizations open securities trading accounts at GTJA Company (Vietnam) from August 15, 2024.

4. Content of Priority Customers policy:

Group	NAV conditions	Conditions: Trading Fee + Margin Fee	Proposed fee	Reduce margin interest rate
Group 1	NAV > 20B	> 200M	0.10%	-0.5%
Group 2	NAV 10B - 20B	100M - 200M	0.11%	-0.4%
Group 3	NAV 5B- 10B	50M - 100M	0.12%	-0.3%
Group 4	NAV 1B - 5B	10M - 50M	0.13%	-0.2%

Note:

- ❖ This policy applies to new customers opening accounts at GTJA from August 15, 2024.
- ❖ This policy does not apply to deal clients.
- ❖ Evaluation conditions: Net Asset Value or Transaction Fee data from the first day of the month (or account opening day) to the end of the month.



- ❖ The policy applies from the following month according to the evaluation conditions of the previous month.
- ❖ Average NAV by month.
- ❖ The trading fee and margin fee are progressive every day of the month.
- ❖ Customers are considered NAV Conditions and Transaction Fee Conditions at the beginning of each month. If a client's NAV and Transaction Fee Conditions meet two different priority groups, the client will have the highest priority group applied.

5. General terms and other regulations:

- a. When participating in the policy, GTJA (Vietnam) will have the right to use the Customer's images and information related to the Policy in advertising, commercial activities and public announcements without paying any additional fees.
- b. GTJA (Vietnam) is responsible for the accuracy and reserves the right to record customers' eligibility to participate in the Policy.
- c. Rules This policy may change from time to time at the sole discretion of GTJA (Vietnam) after carrying out the necessary procedures according to the provisions of the law on trade promotion activities.

If you have any questions, please contact:

- **Hotline:** (024) 3573 0073 / (028) 3823 9966
- **Zalo Customer Service:** 0987093537
- **Tổng đài CSKH/Customer Service Center:** 1900 545461
- **Email:** info@gtjas.com.vn
- **Website:** gtjai.com.vn

Guotai Junan Securities (Vietnam) Corp.

Best Regards!

